#### Markov Jabberwocky: fesh, excenture, and the like

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## Lewis Carroll's Jabberwocky / le Jaseroque / der Jammerwoch

'Twas brillig, and the slithy toves Did gyre and gimble in the wabe; All mimsy were the borogoves, And the mome raths outgrabe.

«Garde-toi du Jaseroque, mon fils! La gueule qui mord; la griffe qui prend! Garde-toi de l'oiseau Jube, évite Le frumieux Band-à-prend!»

Er griff sein vorpals Schwertchen zu, Er suchte lang das manchsam' Ding; Dann, stehend unterm Tumtum Baum, Er an-zu-denken-fing.

. . .

Many of the above words do not belong to their respective languages — yet look like they could, or should. It seems that each language has its own periphery of almost-words. Can we somehow capture a way to generate words which look Englishy, Frenchish, and so on?

It turns out Markov chains do a pretty good job of it. Let's see how it works.

## Probability spaces

A probability space\* is a set  $\Omega$  of possible outcomes\*\* X, along with a probability measure P on events (sets of outcomes). Example:  $\Omega = \{1, 2, 3, 4, 5, 6\}$ , the results of the toss of a (fair) die.

What would you want  $P(\{1\})$  to be? What about  $P(\{2,3,4,5,6\})$ ? And of course, we want  $P(\{1,2\}) = P(\{1\}) + P(\{2\})$ .

The axioms for a probability measure encode that intuition. For all  $A, B \subseteq \Omega$ :

- $P(A) \in [0,1]$  for all  $A \subseteq \Omega$
- $P(\Omega) = 1$
- $P(A \cup B) = P(A) + P(B)$  if A and B are disjoint.

Any function P from subsets of  $\Omega$  to [0,1] satisfying these properties is a probability measure. Connecting that to real-world "randomness" is an application of the theory.

(\*) Here's the fine print: these definitions work if  $\Omega$  is finite or countably infinite. If  $\Omega$  is uncountable, then we need to restrict our attention to a  $\sigma$ -field  $\mathcal F$  of P-measurable subsets of  $\Omega$ . For full information, you can take Math 563.

(\*\*) Here's more fine print: I'm taking my random variables X to be the identity function on outcomes  $\omega$ .

#### Independence of events

Take a pair of fair coins. Let  $\Omega = \{HH, HT, TH, TT\}$ . What's the probability that the first or second coin lands heads-up? What do you think P(HH) ought to be?

$$H$$
  $T$ 
 $H$   $1/4$   $1/4$   $A = 1st$  is heads
 $T$   $1/4$   $1/4$   $B = 2nd$  is heads

Now suppose the coins are welded together — you can only get two heads, or two tails: now,  $P(HH)=\frac{1}{2}\neq\frac{1}{2}\cdot\frac{1}{2}$ .

$$H$$
  $T$ 
 $H$   $1/2$   $0$   $A = 1st is heads$ 
 $T$   $0$   $1/2$   $B = 2nd is heads$ 

We say that events A and B are independent if  $P(A \cap B) = P(A)P(B)$ .

## PMFs and conditional probability

A list of all outcomes X and their respective probabilities is a probability mass function or PMF. This is the function P(X=x) for each possible outcome x.

1/6	1/6	1/6	1/6	1/6	1/6
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Now let  $\Omega$  be the people in a room such as this one. If 9 of 20 are female, and if 3 of those 9 are also left-handed, what's the probability that a randomly-selected female is left-handed? We need to scale the fraction of left-handed females by the fraction of females, to get 1/3.

We say

$$P(L \mid F) = \frac{P(L, F)}{P(F)}.$$

This is the conditional probability of being left-handed given being female.

### Die-tipping and stochastic processes

Repeated die rolls are independent. But suppose instead that you first roll the die, then tip it one edge at a time. Pips on opposite faces sum to 7, so if you roll a 1, then you have a 1/4 probability of tipping to 2, 3, 4, or 5 and zero probability of tipping to 1 or 6.

A stochastic process is a sequence  $X_t$  of outcomes, indexed (for us) by the integers  $t=1,2,3,\ldots$ : For example, the result of a sequence of coin flips, or die rolls, or die tips.

The probability space is  $\Omega \times \Omega \times \ldots$  and the probability measure is specified by  $P(X_1 = x_1, X_2 = x_2, \ldots)$ . Using the conditional formula we can always split that up into a sequencing of outcomes:

$$P(X_1 = x_1, X_2 = x_2, \dots, X_n = x_n) = P(X_1 = x_1)$$

$$\cdot P(X_2 = x_2 \mid X_1 = x_1)$$

$$\cdot P(X_3 = x_3 \mid X_1 = x_1, X_2 = x_2)$$

$$\cdot P(X_n = x_n \mid X_1 = x_1, \dots, X_{n-1} = x_{n-1}).$$

Intuition: How likely to start in any given state? Then, given all the history up to then, how likely to move to the next state?

#### Markov matrices

A Markov process (or Markov chain if the state space  $\Omega$  is finite) is one such that the

$$P(X_n = x_n \mid X_1 = x_1, X_2 = x_2, \dots, X_{n-1} = x_{n-1}) = P(X_n = x_n \mid X_{n-1} = x_{n-1}).$$

If probability of moving from one state to another depends only on the previous outcome, and on nothing farther into the past, then the process is Markov. Now we have

$$P(X_1 = x_1, ..., X_n = x_n) = P(X_1 = x_1)$$
  
  $\cdot P(X_2 = x_2 \mid X_1 = x_1) \cdot ... \cdot P(X_n = x_n \mid X_{n-1} = x_{n-1}).$ 

We have the initial distribution for the first state, then transition probabilities for subsequent states.

Die-tipping is a Markov chain: your chances of tipping from 1 to 2,3,4,5 are all 1/4, regardless of *how* the die got to have a 1 on top. We can make a transition matrix. The rows index the from-state; the columns index the to-state:

$$\begin{bmatrix} & (1) & (2) & (3) & (4) & (5) & (6) \\ (1) & 0 & 1/4 & 1/4 & 1/4 & 1/4 & 0 \\ (2) & 1/4 & 0 & 1/4 & 1/4 & 0 & 1/4 \\ (3) & 1/4 & 1/4 & 0 & 0 & 1/4 & 1/4 \\ (4) & 1/4 & 1/4 & 0 & 0 & 1/4 & 1/4 \\ (5) & 1/4 & 0 & 1/4 & 1/4 & 0 & 1/4 \\ (6) & 0 & 1/4 & 1/4 & 1/4 & 1/4 & 0 \end{bmatrix}$$

#### Markov matrices, continued

What's special about Markov chains? (1) Mathematically, we have matrices and all the powerful machinery of eigenvalues, invariant subspaces, etc. If it's reasonable to use a Markov model, we would want to. (2) In applications, Markov models are often reasonable.

Each row of a Markov matrix is a conditional PMF:  $P(X_2 = x_j \mid X_1 = x_i)$ .

The key to making linear algebra out of this setup is the following law of total probability:

$$P(X_2 = x_j) = \sum_{x_i} P(X_1 = x_i, X_2 = x_j)$$
$$= \sum_{x_i} P(X_1 = x_i) P(X_2 = x_j \mid X_1 = x_i).$$

PMFs are row vectors. The PMF of  $X_2$  is the PMF of  $X_1$  times the Markov matrix M. The PMF of  $X_8$  is the PMF of  $X_1$  times  $M^7$ , and so on.

## Back to words! Phase 1 of 2: read the dictionary file

Word lists (about a hundred thousand words each) were found on the Internet: English, French, Spanish, German. The state space is  $\Omega \times \Omega \times \ldots$  where  $\Omega$  is all the letters found in the dictionary file: a-z, perhaps  $\hat{o}$ ,  $\beta$ , etc.

After experimenting with different setups, I settled on a probability model which is hierarchical in word length:

- I have  $P(\text{word length} = \ell)$ .
- Letter 1:  $P(X_1 = x_1 \mid \ell)$ . Then  $P(X_k = x_k \mid X_{k-1} = x_{k-1}, \ell)$  for  $k = 2, ..., \ell$ .
- I use separate Markov matrices ("non-homogeneous Markov chains") for each word length and each letter position for that word length. This is a lot of data! But it makes sure we don't end words with gr, etc.

PMFs are easy to populate. Example: dictionary is *apple*, *bat*, *bet*, *cat*, *cog*, *dog*. Histogram:

$$\begin{bmatrix}
0 & 0 & 5 & 0 & 1 \\
(\ell = 1) & (\ell = 2) & (\ell = 3) & (\ell = 4) & (\ell = 5)
\end{bmatrix}$$

Then just normalize by the sum to get a PMF for word lengths:

$$\begin{bmatrix} 0 & 0 & 5/6 & 0 & 1/6 \\ (\ell = 1) & (\ell = 2) & (\ell = 3) & (\ell = 4) & (\ell = 5) \end{bmatrix}$$

## Example

Dictionary is apple, bat, bet, cat, cog, dog. Word-length PMF, as above:

$$\left[ \begin{array}{cccc} 0 & 0 & 5/6 & 0 & 1/6 \\ (\ell=1) & (\ell=2) & (\ell=3) & (\ell=4) & (\ell=5) \end{array} \right]$$

Letter-1 PMF for three-letter words:

$$\left[\begin{array}{ccc} 2/5 & 2/5 & 1/5 \\ (b) & (c) & (d) \end{array}\right]$$

Letter-1-to-letter-2 transition matrix for three-letter words:

$$\begin{bmatrix}
(a) & (e) & (o) \\
(b) & 1/2 & 1/2 & 0 \\
(c) & 1/2 & 0 & 1/2 \\
(d) & 0 & 0 & 1
\end{bmatrix}$$

Letter-2-to-letter-3 transition matrix for three-letter words:

$$\begin{bmatrix}
 (t) & (g) \\
 (a) & 1 & 0 \\
 (e) & 1 & 0 \\
 (o) & 0 & 1
\end{bmatrix}$$

# Phase 2 of 2: generate the words using CDF sampling

How can we sample from a non-uniform probability distribution? Think of the PMF as a dartboard. We throw a uniformly wild dart. Outcomes with bigger P should take up bigger area on the dartboard.

Theorem: This works. Technically:

- We write a cumulative distribution function, or CDF. Whereas the PMF is f(x)=P(X=x), the CDF is  $F(x)=P(X\leq x)$ . (Put some ordering on the outcomes.)
- Let U (the dart) be uniformly distributed on [0,1].
- ullet Then  $F^{-1}(U)$  (appropriately interpreted) has the distribution we want. (See my September 2007 grad talk *Is 2 a random number?* for full details.)

Example: PMF for letter 1 of three-letter words is

$$\left[\begin{array}{ccc} 0.4 & 0.4 & 0.2 \\ (b) & (c) & (d) \end{array}\right].$$

CDF for letter 1 of three-letter words is

$$\left[\begin{array}{ccc} 0.4 & 0.8 & 1.0 \\ (b) & (c) & (d) \end{array}\right].$$

If U comes out to be 0.6329, then I pick letter 1 to be c. If U comes out to be 0.1784, then I pick letter 1 to be b. Etc. I also make a CDF for each row of each Markov matrix.

#### Word generation, continued

To generate a word, given the Markov-chain data obtained from a specified dictionary file:

- Use CDF sampling to pick a word length  $\ell$  from the word-length distribution.
- Use the letter-1 CDF for word length  $\ell$  to pick a first letter.
- Go to that letter's row in the letter-1-to-letter-2 transition matrix for word length  $\ell$ . Sample that CDF to pick letter 2.
- Keep going until the ℓth letter.
- Print the word out.

#### Three-letter memory

The non-Markov part of the story: Using Markov chains, as described here, I got decent words, but not always. Real-word correlations go more than one letter deep.

Example: Using a German dictionary, my program generated the 5-letter word *bller*. This made sense: There are  $b \mid \_\_\_$  words in German, e.g. *bleib*. There are  $\_ \mid I \mid \_\_$  words in German, e.g. *alles*. But my Markov model only looks at correlations between adjacent letters, and thus it didn't detect that  $bll \_\_$  never happens in German.

For revision two of the project, I did all the steps described in the previous slides, but now with the following data:

- I have  $P(\text{word length} = \ell)$  as before.
- For first letters,  $P(X_1 = x_1 \mid \ell)$ .
- For second letters,  $P(X_2 = x_2 \mid X_1 = x_1, \ell)$ .
- For the rest,  $P(X_k = x_k \mid X_{k-2} = x_{k-2}, X_{k-1} = x_{k-1}, \ell)$ .

#### Results with a tiny word list

Dictionary is bake, balm, bare, cake, calm, care, cart, case, cave. Here are all possible outputs (all of  $\Omega \times \Omega \times \ldots$ ) using two-letter and three-letter memory, respectively. Words appearing in the output but not in the input word list are marked with \*.

$\omega$	$P(\omega)$	ω	$P(\omega)$
bake	0.0740741	bake	0.1111111
balm	0.0740741	balm	0.1111111
bare	0.0740741	bare	0.0740741
bart*	0.0370370	bart*	0.0370370
base*	0.0370370	cake	0.1111111
bave*	0.0370370	calm	0.1111111
cake	0.1481481	care	0.1481481
calm	0.1481481	cart	0.0740741
care	0.1481481	case	0.1111111
cart	0.0740741	cave	0.1111111
case	0.0740741		
cave	0.0740741		

When larger word lists are used,  $\Omega$  is far larger than the input word list: i.e. far more mimsy and mome than were and the.

#### Results with real word lists

For full-size word lists, I don't try to enumerate all possible outputs — I just generate 100 or so at a time.

When I feed word lists from different languages into the same computer program, I get different outputs. Hopefully, you can tell which is which.

churency kingling supprotophated doconic linictoxly stewalorties murine hawkinesses

texueux roseras plaçâtes exhumèrent orileffé cinquetassions laissiez regre-nèses sauceptant montrenards résaïsmez enjupillâmes ratît fausive

perónimo bolón sanfija morricete esmotorrar bisfato filamberecer estempolí mícleta zarífero senestrosia desalificapio

Böservolle techtausfälle Nah wohlassee verschützen Probinus träßcher Postenpland einprückt Bußrfere höhegendeter

occlamo domitor nestum inhibeo prohisus equino eribro obvolla exteptor exibro abduco loci equa occasco

## Matching

Aramian Wasielak's idea: run a word (real or not) through the Markov-chain data for all tabulated languages, computing the probability of the word:

$$P(\text{word length} = \ell) \cdot P(X_1 = x_1 \mid \ell) \cdot P(X_2 = x_2 \mid X_1 = x_1, \ell) \cdots$$

(last four columns.) Then, for each word, normalize those numbers to get a score between zero and one (first four columns).

Word	En score	Fr score	Sp score	De score	En P	Fr P	Sp P	De P
cat	1.000	0.000	0.000	0.000	$5.5 \cdot 10^{-6}$	0	0	0
baguette	0.015	0.985	0.000	0.000	$4.7 \cdot 10^{-9}$	$3.1 \cdot 10^{-7}$	0	0
wurst	0.180	0.000	0.000	0.820	$1.2 \cdot 10^{-7}$	0	0	$5.5 \cdot 10^{-7}$
palapa	0.014	0.056	0.930	0.000	$9.0 \cdot 10^{-9}$	$3.6 \cdot 10^{-8}$	$6.0 \cdot 10^{-7}$	0
fesh	1.000	0.000	0.000	0.000	$9.3 \cdot 10^{-7}$	0	0	0
location	0.719	0.098	0.000	0.181	$1.9 \cdot 10^{-7}$	$2.6 \cdot 10^{-8}$	0	$4.8 \cdot 10^{-8}$
xyzzy	0.000	0.000	0.000	0.000	0	0	0	0
brillig	0.000	0.000	0.000	1.000	0	0	0	$2.5 \cdot 10^{-9}$
slithy	1.000	0.000	0.000	0.000	$2.1 \cdot 10^{-7}$	0	0	0
toves	0.000	0.000	0.000	0.000	0	0	0	0
outgrabe	0.000	0.000	0.000	0.000	0	0	0	0
frumieux	0.067	0.895	0.000	0.037	$4.5 \cdot 10^{-11}$	$6.0 \cdot 10^{-10}$	0	$2.5 \cdot 10^{-11}$
griff	0.742	0.139	0.000	0.118	$7.4 \cdot 10^{-7}$	$1.3 \cdot 10^{-7}$	0	$1.1 \cdot 10^{-7}$
vorpal	1.000	0.000	0.000	0.000	$1.3 \cdot 10^{-9}$	0	0	0
muggle	1.000	0.000	0.000	0.000	$1.5 \cdot 10^{-6}$	0	0	0
expecto	0.000	0.000	1.000	0.000	0	0	$8.1 \cdot 10^{-7}$	0
patronum	1.000	0.000	0.000	0.000	$2.0 \cdot 10^{-10}$	0	0	0

## Other possibilities

In this project, my goal was to construct words out of letters, using language-specific empirical knowledge of transition probabilities from one letter to the next.

One can do something similar, constructing sentences out of (true) words, using language-specific empirical knowledge of transition probabilities from one word to the next. Google for Garkov and Rooter. See also Cam McLeman's page on language/math experiments.

Shane Passon's idea: Using more languages (e.g. German, Dutch, Swedish; French, Spanish, Catalan, Italian; Polish, Czech, Russian; etc.) can we adapt the scoring mechanism to measure relatedness of languages?

All the machinery here works on letters — specifically on written language. Better results might be obtained by using not letters, but units such as  $e,\ n,\ ou,\ gh.$  This requires a language expert to decide what the pieces are. Or does it? Can we automate detection of these digraphs, trigraphs, and so on?

When we invent nonsense sayings, I don't think there are little Markov chains running in our heads. What's so satisfying about Carroll's *Long time the manxome foe he sought* ..., and where does it really come from?

Vielen Dank für Ihre Aufmerksamkeit!

Je vous remercie de votre attention!

Gracias por su atención!

Thank you for attending!